

In 2005, the office of Discipline Inspection, Auditing and Supervision (or IAS office) of NSFC persisted in the theory of *Three Represents*, seriously implemented the major policies of the 4th and 5th Plenary Sessions of the 16th Central Committee of the Communist Party of China. Under the direction of NSFC leading party group, and with the support and cooperation of different bureaus, and departments, they smoothly implemented their auditing, and supervision tasks. This further strengthened the basis of an incorruptible administrative team for science foundation, strengthened moral rules in scientific research, maintained the fairness of science foundation, cultured a healthy environment for scientific research, and supplemented the science foundation system.

Strengthened the basis of incorruptible party environment and supplemented constitutions for incorruptible foundation system

According to the guidelines of *the Implementation Outline for Establishing and Improving the System of Punishing and Preventing Corruptions by Education, Regulation, and Supervision (Implementation Outline)*, and by combining the science foundation's new-era policies and its strategic orientation in the national innovation system, IAS office designed suggestive protocols for *Implementation Outline* to strengthen the basis of incorruptible party environment, perfect science foundation system, strengthen auditing and supervision, and maintain the fairness of science foundation. They also clarified the working schedule, arrangement and measure for establishing a healthy incorruptible system in NSFC in the Eleventh Five-Year Plan period.

In August 2005, IAS office revised and issued *the Eight Provisions for Official Business in NSFC* to actively promote the operations in NSFC, further implement related provisions from CPA, and the State Council for incorruptible construction, and strengthen the basis of incorruptible party environment of NSFC.

Launched multiple types of activities, paid attention to Anti-corruption Education

By unleashing the potential of illustrative teaching and teaching by caution, IAS office launched education activity to popularize the knowledge of *Implementation Outline*, and *Outline for Studying the Anti-corruption Theory of Three Represents*. This activity achieved Excellent Operation Award issued by Discipline Supervision and Investigation Committee for China's State organs. They also organized delegation to participate "Illustrative Exhibition for Anti-corruption Education", and invited deputy procurator general of People's Procuratorate in Haidian District to present the lecture on "Preventing Malversation in Advance", which strengthened common consciousness of probity and responsibility on certain working positions.

Strengthened the construction of scientific moral, advocated scientific attitude

In 2005, Supervision Committee of NSFC designed *the Constitution for Supervision Committee of NSFC and Methods of Dealing with Misconducts in the Funding of NSFC*. Their implementation caught extensive academic considerations.

Supervision Committee of NSFC announced 3 serious cases of plagiarism and dishonesty, and the tackling decisions to these misdeeds on NSFC's web page. Moreover, the Supervision Committee Bulletin Board also disclosed these misdeeds and the related people. The Supervision Committee further established several colloquiums and forums to present their supervision work, and analyze typical cases. Their efforts actively influenced the promotion of scientific moral and penalization on misdeeds.

Till Dec. 2005, the Supervision Committee received 123 informer's reports. 63 of them were on hearing directly 34 declined, 26 delivered to other related departments. By reviewing these reports, 21 cases were taken into measure (among which 13 cases were in 2004). 19 cases of them were clarified, 6 cases were released because of scarce witnesses, and the rest 30 cases were still under review. In

2005, 7 reporters, objection to 7 young scholars in National Science Fund for Distinguished Young Scholars, were received. 3 of them were written autonomously. However, through detailed investigation, there was no plagiarism and dishonesty in this program in 2005.

Supervision groups were still bound with various scientific departments of NSFC in 2005. And another taste was tried in the investigation on the fairness of evaluation experts.

Carefully auditing guaranteed fairness in funding allocation

Demanded by National Audit Office of PRC, spot-check auditing for 160 NSFC sponsored key projects, major projects, as well as projects of distinguished young scholars, with a total of 0.16 billion RMB was investigated in 31 universities and research institutes of Beijing and Eastern China according to the investment policies of NSFC. Their auditing work mainly focused on the utilization status of the sponsored fund, the reasonability, legality and authenticity of the expense. Further more, after the investigation of the financial management and controlling systems of those supported academic units, they tried to

embed NSFC investment policies to these academic units to promote their financial operations. Through auditing, they figured out the deficient factors, which needed further improvement and enhancement in the audited academic units, and pertinently released their suggestions to them. Furthermore, they even resolved several complaint cases in the supported academic units. Based on above, the supervision capability of the supported academic units was strengthened. This further guaranteed the fairness, authenticity and legality in the operation of science foundation.



Nov. 24-25, the summary meeting for spot-check auditing in 2005 for major projects in Beijing area was held.